Electron transport simulations from first principles

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Methods

- Tunneling & ballistic transport.
 - Tight-binding (hopping)
 - Kubo-Greenwood linear response Implemented into the fully relativistic SKKR code Suitable to study magnetotransport, reletivistic effects (SOC, AMR)
 - Nonequilibrium Green's functions
- Tunneling transport only.
 - STM simulations, different levels of theories:
 - 1D WKB (QM)
 - 3D WKB (atom superposition)
 - Tersoff-Hamann (LDOS)
 - Bardeen (perturbation theory, transfer Hamiltonian)
 - multiple scattering theory (Green's function methods)
- All above based on first principles electronic structure data first principles = ab initio = parameter-free, no fitting (Schrödinger equation or relativistic Dirac equation)

STM simulations

- New SP-STM/STS simulation package developed based on electronic structure data (from first principles), extending the work of Heinze, Appl. Phys. A 85, 407 (2006).
- Main features:
 - 1. Imaging noncollinear surface magnetic structures
 - 2. Tip electronic structure considered
 - 3. Bias voltage included
 - 4. Energy dependence of local spin quantization axes included
 - 5. Easy combination with any electronic structure code
- Studied examples:
 - 1. Tip-sensitivity of SP-STS: Fe(001)
 - 2. SP-STS: Cr ML on Ag(111)
 - 3. Bias-dependent magnetic contrast of SP-STM: Cr ML on Ag(111)
 - 4. Orbital dependent tunneling: contrast inversion on W(110)
- Conclusions

Motivation

Recent experimental advances in spin-polarized STM: Frustrated antiferromagnets: Mn/Ag(111), Gao and Wulfhekel,



J.Phys.Cond.Matt. 22, 084021 (2010) Another Review: Wiesendanger, Rev. Mod. Phys. 81, 1495 (2009)

Spin spirals: Mn/W(110), Bode et al., Nature 447, 190 (2007)



Recipe

Determine the ground state magnetic structure of the studied system (from first principles: Density Functional Theory; For larger systems: considering model Hamiltonians describing magnetic interactions or micromagnetic simulations) Magnetic interactions from first principles: e.g. Antal et al., Phys. Rev. B 77, 174429 (2008) Multiscale approach: e.g. Udvardi et al., Physica B 403, 402 (2008) Self-consistent method based on band energy derivatives: Balogh et al., Phys. Rev. B 86, 024406 (2012)

Calculate electronic structure and simulate STM/STS (New SP-STM/STS simulation package)

Compare the simulation results to experiments

Theoretical description Heinze model [Appl. Phys. A 85, 407 (2006)]: $LDOS(\underline{R}_{TIP}(x, y, z), E_F) = \sum e^{-2\kappa |\underline{R}_{TIP} - \underline{R}_{\alpha}|} \left(1 + P_T(E_F) P_S^{\alpha}(E_F) \cos \varphi_{\alpha}\right)$ Assumption made: Contribution to vacuum LDOS from spherical tail of atomic wave functions (Independent orbital approximation) LDOS considered in our model at arbitrary energies: $LDOS(x, y, z, E, V) = \Delta E \sum e^{-2\kappa(E, V)|\underline{R}_{TIP}(x, y, z) - \underline{R}_{\alpha}|} n_T(E) n_S^{\alpha}(E) [1 + P_T(E) P_S^{\alpha}(E) \cos\varphi_{\alpha}(E)]$ Energy dependent vacuum decay: $\kappa(E,V) = \frac{1}{\hbar} \sqrt{2m \left(\frac{\phi_S + \phi_T + eV}{2} + E_F^S - E\right)}$ Virtual diff. conductance: $\frac{dI}{dU}(U,V) = \frac{e^2}{h}\Delta E \cdot LDOS(E_F^S + eU,V)$ Total current: $I(V) = \int dU \frac{dI}{dU}(U, V)$ Palotás et al., PRB 84, 174428 Diff. conductance: $\frac{dI}{dV}(V') = \frac{dI}{dU}(V',V') + \int_0^{V'} dU \frac{\partial}{\partial V} \frac{dI}{dU}(U,V) \Big|_{V=V'}$

Theoretical description

Modified electron local density of states at tip apex position \underline{R}_{TIP} at energy *E* in flavour of the **spin-pol**. **Tersoff-Hamann model**:

 $LDOS(x, y, z, E, V) = \Delta E \sum_{\alpha} e^{-2\kappa(E, V)|\underline{R}_{TIP}(x, y, z) - \underline{R}_{\alpha}|} n_T(E) n_S^{\alpha}(E) [1 + P_T(E)P_S^{\alpha}(E)\cos\varphi_{\alpha}(E)]$

Topographic **TOPO**: $n_{\tau}n_{s}$ and magnetic **MAGN**: $m_{\tau}m_{s}\cos\varphi$ contributions Sum over α has to be carried out over all atoms on the surface:



Palotás et al., Phys. Rev. B 83, 214410 (2011)

Theoretical description

$$LDOS(x, y, z, E, V) = \Delta E \sum_{\alpha} e^{-2\kappa(E,V)|\underline{R}_{TIP}(x,y,z)-\underline{R}_{\alpha}|} n_{T}(E) n_{S}^{\alpha}(E)[1+P_{T}(E)P_{S}^{\alpha}(E)cos\varphi_{\alpha}(E)]$$

$$\varphi_{\alpha} \text{: angle between local spin quant. axes of tip apex and the αth atom.}$$

$$P_{\tau} \text{ and } P_{s} \text{ is the spin polarization of tip apex and sample atoms, respectively.}$$
The atom-projected charge and magnetization DOS (PDOS):
$$n(E) = n_{\uparrow}(E) + n_{\downarrow}(E) \qquad \text{Spin polarization (COLLINEAR cafe.):}$$

$$m(E) = n_{\uparrow}(E) - n_{\downarrow}(E) \qquad P_{T,S}(E) = \left(n_{T,S}^{\uparrow}(E) - n_{T,S}^{\downarrow}(E)\right) / \left(n_{T,S}^{\uparrow}(E) + n_{T,S}^{\downarrow}(E)\right)$$
NONCOLLINEAR cafe. [Palotás et al., PRB 84, 174428 (2011)]:
$$n_{T,S}(E) = \sum_{k} \sum_{j} \frac{1}{G\sqrt{\pi}} e^{-(E-\varepsilon_{T,S}^{j}(k))^{2}/G^{2}} \int_{atomic volume} d^{3}r \Psi_{T,S}^{jk\dagger}(\underline{r}) \Psi_{T,S}^{jk}(\underline{r})$$
Spin polarization vector:
$$\underline{P}_{T,S}(E) = \frac{m_{T,S}(E)}{n_{T,S}(E)}$$

Model tips

Three tip models considered: 1. Ideal maximally spin-polarized electronically flat tip 2. Ni(110) tip with single Ni atom apex, VASP+PAW, GGA-PW91 Symm. 7 lay. Ni(110) slab+apex atom, 3x3 cell, 36 IBZ k-points P_T close to -1 in the energy range [-0.3eV,+0.3eV], ϕ_T = 4.52 eV

3. Fe(001) tip with Cr apex, data from Ferriani et al. PRB 82, 054411 (2010)



Projected DOS onto the tip apex atom: Charge (*n*) and magnetization (*m*) DOS:

 $n(E) = n_{\uparrow}(E) + n_{\downarrow}(E)$ $m(E) = n_{\uparrow}(E) - n_{\downarrow}(E)$

Spin-polarization: P(E)=m(E)/n(E)

Differential tunnelling spectrum of Fe(001) Simulation details: VASP+PAW, GGA-PW91, Symmetric 13 layers Fe(001) slab, 1x1 cell, 72 k-points in IBZ





Peak position at +0.20V agrees with exp. and more sophisticated calc. Experiment: Stroscio et al. PRL 75, 2960 (1995). Theor. results from multiple scattering theory of tunnelling (BSKAN code): Palotás and Hofer: J. Phys. Cond. Matt. 17, 2705 (2005).

Differential tunnelling spectrum of Fe(001)

Ideal tip: dl/dU(U)

Palotás et al., PRB 83, 214410 (2011)



Differential tunnelling spectrum of Fe(001)

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Palotás et al., PRB 83, 214410 (2011)



Differential tunnelling spectrum of Fe(001)

Ideal tip: dl/dU(U)

Palotás et al., PRB 83, 214410 (2011)



Imaging noncollinear surface magnetic structures Cr monolayer on Ag(111) surface

Simulation details: VASP+PAW+SOC, GGA-PW91 Symmetric 5 layers Ag slab+Cr layers, 121 BZ k-points Two different magnetic chiralities characterized by

$$\underline{K} = \frac{2}{3\sqrt{3}} \left(\underline{e}_S^1 \times \underline{e}_S^2 + \underline{e}_S^2 \times \underline{e}_S^3 + \underline{e}_S^3 \times \underline{e}_S^1 \right) \text{ chirality vector}$$

Magnetic unit cell: $(\sqrt{3} \times \sqrt{3})_{R30^\circ}$ Palotás et al., PRB 84, 174428





K₂=-1 energetically favored by 1.1 meV (FM state 1.04 eV higher) Cr: AFM coupling → noncollinear 120° Néel state, $|M_{cr}|$ =3.73 μ_B

Tip: Cr adatom on Fe(001) [Ferriani et al. PRB 82, 054411 (2010)] @ z=3.5 Angstoms above surface Cr atom



Differential conductance dI/dV



Differential conductance dI/dV







Magnetic asymmetry: $A_{MAGN}(x,y,z,V) = \frac{dI^P/dV(x,y,z,V) - dI^{AP}/dV(x,y,z,V)}{dI^P/dV(x,y,z,V) + dI^{AP}/dV(x,y,z,V)}.$ Relation to the effective spin polarization Differential conductance: $\frac{dI_{TOTAL}}{dV}(x, y, z, V) = \frac{dI}{dU}(x, y, z, V, V) + B(x, y, z, V) + D_T(x, y, z, V)$ LDOS background tip-derivative Magnetic asymmetry: $A^{dI/dV}(x, y, z, V) = \frac{dI_{\text{MAGN}}^{P}/dV(x, y, z, V)}{dI_{\text{TOPO}}/dV(x, y, z, V)}$ $= \frac{dI_{\text{MAGN}}^{P}/dU(x, y, z, V, V) + B_{\text{MAGN}}^{P}(x, y, z, V) + D_{T}^{\text{MAGN}, P}(x, y, z, V)}{dU(x, y, z, V, V) + D_{T}^{P}}$ $dI_{\text{TOPO}}/dU(x, y, z, V, V) + B_{\text{TOPO}}(x, y, z, V) + D_T^{\text{TOPO}}(x, y, z, V)$ Effective spin polarization: $A^{dI/dU}(x, y, z, V) = \frac{dI_{\text{MAGN}}^{P}/dU(x, y, z, V, V)}{dI_{\text{TOPO}}/dU(x, y, z, V, V)}$ Palotás et al., $= \underline{P}_T(E_F^T)\underline{P}_S(x,y,z,E_F^S+eV)$ PRB 85, 205427 (2012)

Cr monolayer on Ag(111) surface 2D dl/dV & ESP maps on constant current contour





Palotás et al., Phys. Rev. B 85, 205427 (2012)

Cr monolayer on Ag(111) surface Dependence of magnetic contrast on tip magnetization orientation

Ideal magnetic tip, 0 V bias, $\phi_s = 4.47 \text{ eV}$

Qualitatively similar images obtained in SP-STM exp./sim. for Néel states: Cr/Ag(111) Heinze, Appl. Phys. A 85, 407 (2006) (sim.), Mn/Ag(111) Gao and Wulfhekel, Phys. Rev. Lett. 101, 267205 (2008) (exp.), Cr/Pd(111) Waśniowska et al., Phys. Rev. B 82, 012402 (2010) (exp.+sim.)



Cr monolayer on Ag(111) surface Bias dependent magnetic contrast



Palotás et al., PRB 84, 174428 (2011)

Cr monolayer on Ag(111) surface Bias dependent magnetic contrast



Be careful when interpreting SP-STM images! Palotás et al., PRB 84, 174428 (2011)

Relation between constant current and constant height STM images

Height contrast between A and B lateral surface points in nonmagnetic STM: (Chen: Introduction to STM, 1993)

$$\Delta z_{\text{nonmagn}}^{AB}(z_1, V) = -\frac{\Delta I^{AB}(z_1, V)}{\partial I^{\text{av}}/\partial z(z_1, V)}$$

Total contrast in spin-polarized STM:

$$\Delta z^{AB}(z_1, V) = \Delta z^{AB}_{\text{TOPO}}(z_1, V) + \Delta z^{AB}_{\text{MAGN}}(z_1, V)$$
$$= -\frac{\Delta I^{AB}_{\text{TOPO}}(z_1, V)}{\partial I^{\text{av}}_{\text{TOT}}/\partial z(z_1, V)} - \frac{\Delta I^{AB}_{\text{MAGN}}(z_1, V)}{\partial I^{\text{av}}_{\text{TOT}}/\partial z(z_1, V)}$$

Averaged current over the scan area:

$$I_{\text{TOT}}^{\text{av}}(z,V) = \frac{1}{N_x N_y} \sum_{i=1}^{N_x} \sum_{j=1}^{N_y} I_{\text{TOT}}(x_i, y_j, z, V)$$

Palotás, Phys. Rev. B 87, 024417 (2013)

Magnetic contrast estimation

<u>Assumptions</u>: Atomically flat surface consisting of chemically equivalent & magnetically inequivalent atoms

$$\Delta z^{AB}(z_1, V) = \Delta z_{TOPO}^{AB}(z_1, V) + \Delta z_{MAGN}^{AB}(z_1, V)$$

= $-\frac{\Delta I_{TOP}^{AB}(z_1, V)}{\partial I_{TOT}^{aV}/\partial z(z_1, V)} - \frac{\Delta I_{MAGN}^{AB}(z_1, V)}{\partial I_{TOT}^{aV}/\partial z(z_1, V)}$
We want to avoid scanning the full scan a predict the magnetic contrast from single
 $\rightarrow 2$ propositions: (measurements over points A and B needed)

Palotás, Phys. Rev. B 87, 024417 (2013)

Magnetic contrast estimation

Palotás, Phys. Rev. B 87, 024417 (2013)

Prediction of bias dependent magnetic contrast



Palotás, Phys. Rev. B 87, 024417 (2013)

Prediction of bias dependent magnetic contrast



Prediction of bias dependent magnetic contrast



Cr monolayer on Ag(111) surface Bias dependent magnetic contrast



Contrast reversal observed using ideal tip
→ effect of surface electronic structure
Tip electronic structure plays a role as well!
Experimental verification is needed!
Palotás, Phys. Rev. B 87, 024417 (2013)
Palotás et al., Phys. Rev. B 84, 174428 (2011)

PRB 86, 235415 (2012) Orbital independent tunneling Theoretical description

$$I(x, y, z, V) = \int_0^V \frac{dI}{dU}(x, y, z, U, V) dU$$

$$\frac{dI}{dU}(x, y, z, U, V) = \varepsilon^2 \frac{e^2}{h}$$

$$\times \sum_{\alpha} T(E_F^S + eU, V, d_{\alpha}(x, y, z)) n_T(E_F^T + eU - eV) n_S^{\alpha}(E_F^S + eU)$$

$$T(E_F^S + eU, V, d_\alpha) = e^{-2\kappa(U, V)d_\alpha}$$

Independent orbital approximation

$$\kappa(U,V) = \frac{1}{\hbar} \sqrt{2m\left(\frac{\phi_S + \phi_T + eV}{2} - eU\right)}$$

PRB 86, 235415 (2012) Orbital dependent tunneling Theoretical description $n^{\alpha}_{S}(E) = \sum_{\beta} n^{\alpha}_{S\beta}(E)$ Orbital decomposition of PDOS $\beta, \gamma \in$ $n_T(E) = \sum_{\alpha} n_{T\gamma}(E) \left\{ s, p_y, p_z, p_x, d_{xy}, d_{yz}, d_{3z^2 - r^2}, d_{xz}, d_{x^2 - y^2} \right\}$ $\frac{dI}{dU}(x, y, z, U, V) = \varepsilon^2 \frac{e^2}{h}$ Generalization (10) $\times \sum_{\alpha} \sum_{\beta,\gamma} T_{\beta\gamma}(E_F^S + eU, V, d_{\alpha}(x, y, z)) n_{TO}(E_F^T + eU - eV) n_{SO}^{\alpha}(E_F^S + eU),$ $I(x, y, z, V) = \sum I_{\beta\gamma}(x, y, z, V)$ $I_{\beta\gamma}(x, y, z, V) = \varepsilon^2 \frac{e^2}{b}$ $\times \sum \int_0^v T_{\beta\gamma} (E_F^S + eU, V, d_\alpha(x, y, z)) n_{T\gamma} (E_F^T + eU - eV) n_{S\beta}^\alpha (E_F^S + eU) dU$

PRB 86, 235415 (2012) Orbital dependent tunneling Theoretical description

$$T_{\beta\gamma}(E_F^S + eU, V, d_{\alpha}) = e^{-2\kappa(U, V)d_{\alpha}} t_{\beta\gamma}(\vartheta_{\alpha}, \varphi_{\alpha})$$

orbital dependent

$$t_{\beta\gamma}(\vartheta_{\alpha},\varphi_{\alpha}) = [\chi_{\beta}(\vartheta_{\alpha},\varphi_{\alpha})]^2 \times [\chi_{\gamma}(\vartheta_{\alpha}+\pi,\varphi_{\alpha}+\pi)]^2$$



PRB 86, 235415 (2012) Orbital contributions to the current on W(110)



PRB 86, 235415 (2012) Corrugation inversion on W(110) Dependence on tip-symmetry, bias, and tip-sample distance



PRB 86, 235415 (2012) Corrugation inversion on W(110) Simulated STM images, V=-0.25 V



Good agreement of contrast reversal height: 4.15 vs 4.21 Å Good agreement with Heinze et al., PRB 58, 16432 (1998)

PRB 86, 235415 (2012) Corrugation inversion on W(110) Simulated STM images, V=-0.25 V



Good agreement of contrast reversal height: 5.80 vs 5.55 Å Computational time of our model does not depend on k-point sampling of BZ! Example: Sample 41x41x5, Tip 11x15x5 k-set: Our model **8500** times **faster**!

Summary

- New SP-STM/STS simulation package developed based on first principles electronic structure data and the work of Heinze, Appl. Phys. A 85, 407 (2006).
- Main features:
 - 1. Imaging noncollinear surface magnetic structures
 - 2. Tip electronic structure considered
 - 3. Bias voltage included
 - 4. Energy dependence of local spin quantization axes included
 - 5. Orbital dependent tunneling transmission
- Main advantages:
 - 1. Easy combination with any electronic structure code
 - 2. Possible combination of different levels of
 - electronic structure methods for tip and surface
 - 3. Computationally cheap
 - 4. Easy to parallelize \rightarrow fast

Work in progress - Outlook

- Going beyond the independent orbital approximation considering tunneling between directional orbitals
 - arbitrary tip orientations (comparison to experiments, at the moment graphite(0001) surface)
 - extension to study magnetic systems reveal contrast mechanisms
 PhD work in Budapest: Gábor Mándi
- Local electronic properties of surfaces with Moiré structure TDK work in Budapest: Mátyás Seress
- Improve tunneling theory for complex magnetic surfaces (BSKAN code - Werner Hofer, Liverpool interface to noncollinear VASP code)
- Study of magnetic atomic contacts (at the moment Ir contacts, domain walls in Co contacts)

Conclusions

- Reproduced Fe(001) surface state peak at +0.20V in the SP-STS spectrum
- Sensitivity of SP-STS on magnetic samples can be enhanced by using proper magnetic tips. Role of effective spin polarization! PRB 83, 214410 (2011)
- dl/dV: background and tip-derivative terms considered
- 2D dl/dV map, Magn. Asymm. map → 2D Eff. Spin-Pol. map PRB 85, 205427 (2012)
- Cr/Ag(111): Evidence for tip and bias dependent magnetic contrast PRB 84, 174428 (2011); PRB 87, 024417 (2013)
- Orbital dependent tunneling: W(110), PRB 86, 235415 (2012)

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Thank YOU for your attention!

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